

Edinburgh, 15<sup>th</sup> July 2017

**Prof. Pierre de Gioia-Carabellese**

<http://www.hw.ac.uk/schools/management-languages/staff-directory/pierre-de-gioia-carabellese.htm>

[https://www.researchgate.net/profile/Pierre\\_De\\_Gioia-Carabellese](https://www.researchgate.net/profile/Pierre_De_Gioia-Carabellese)

<https://twitter.com/pierredegioiaca>

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## 1. Curriculum Vitae (extended version)

<b>Education and Qualifications</b>	<u>Education</u> <ul style="list-style-type: none"> <li>• 1987 - Lyceum Gymnasium (High School, Cosenza) - 60/60 (award for the best translation from Ancient Greek in the final exam)</li> <li>• 1992 - <i>Juris Doctor</i> (Law School, University of Bari) - 110/110 <i>Summa cum Laude</i></li> <li>• 2000 - Master of Science in Banking and Finance (University of Siena, Business School) - 70/70</li> <li>• 2004 - Ph.D. in Banking and Financial Law (University of Siena, Siena) (Ph.D. completed in three years, two years before my peers who started with me)</li> <li>• 2007 - LL.M. in European and International Trade Law (Glasgow Caledonian University, Glasgow) with merit (Prize as best dissertation and best LL.M. student in 2007)</li> <li>• 2010 - Post-Graduate Course in Academic Practice (Heriot Watt University, Edinburgh)</li> </ul> <u>Professional Qualifications</u> <ul style="list-style-type: none"> <li>• 1996 – Avvocato – Italy (qualified lawyer)</li> <li>• 2010 – Solicitor and Notary Public (qualified lawyer)</li> </ul>
<b>Employment History</b>	<ul style="list-style-type: none"> <li>• 02/01/1995-01/09/2000 - Istituto Mobiliare Italiano Bank – Legal Department – Associate (from 1998 the bank’s denomination changed to San Paolo IMI Bank)</li> <li>• 01/12/2000-01/03/2003 Baker and McKenzie LLP – Legal Department – Senior Associate</li> <li>• 01/04/2003-20/07/2006 Deutsche Bank – Head of Corporate and Investment Banking Legal Department</li> <li>• 04/08/2008-present – Lecturer in Business Law; in 2012, Senior Lecturer in Business Law; as from 2014, Associate Professor in Business Law</li> <li>• 01/09/2006-present – Advocate and Legal Adviser (Law Chambers in Rome, Venice, Edinburgh) In this capacity, legal assistance to banks and multinational companies in capital markets transactions (<i>eg</i> takeovers, Initial public offerings, prospectuses, mergers and acquisitions) and international financial contracts (<i>eg</i> derivatives, credit derivatives, securities lending agreements, credit support annexes, securitisations).</li> </ul>
<b>Professional Recognition</b>	<u>Visiting Professorships/Scholarships/Fellowships</u> <ul style="list-style-type: none"> <li>• <b>Professor of Law of Mergers &amp; Acquisitions</b> (Ca’ Foscari – University of Venice – 2016 and 2017/part-time), with a research grant/personal allowance of Euro 7,000</li> <li>• (short-term) Visiting Professor in Banking Law (University of Padua – Law School – December 2016), with a personal allowance of Euro 3.200</li> <li>• <b>Visiting Scientist in Private Law</b> (University of Cagliari – Law School – July 2016) (with an allowance of Euro 4.600)</li> <li>• Adjunct Professor in International Financial Law (University of Padova – Master in International Commerce – since 2014)</li> </ul>

- Visiting Scholar in Financial Law (Leiden Law School – Hazelhof Centre of Financial Law – since 2014)
- Professor (Ph.D. School in Human Capital Formation and Employment Law – Bergamo – since 2012)
- Professor (Ph.D. School in Governance, Management and Economics, Parthenope, Naples, since 2016)
- (long-term) Invited Salaried Visiting Professor in Private Law at the University of Cagliari (August-November 2016)
- (short-term) Visiting Scholar in Employment Law (Ca' Foscari, University of Venice – June 2014)

#### Academic Appointments, including learnt societies

##### **Learnt Societies**

- Scottish Graduate School of Arts and Humanities – Member (since 2014)
- Law Institute of Scottish Universities (Director since 2012)
- Society of Legal Scholars (Member since 2016)
- Higher Education Academy (Fellow since 2010)

##### **Editorial Boards**

- Rivista Trimestrale di Diritto dell'Economia
- Diritto Fallimentare
- Journal of Financial Regulation and Compliance (Special Editor – issue 2016(2))

##### **Professional Appointments:**

- Solicitor and Notary Public (Law Society of Scotland, since 2010)
- Avvocato (Rome Bar, since 1996)
- Currently requalifying as Solicitor in England and Wales

##### **Awards**

- In October 2016, my project for a visiting professorship at the University of Padua, Law School, was awarded the prize as the - only - eligible project funded by the Banca Popolare di Padova for a cycle of 4 conferences, with a research grant of Euro 3,200 and the conferment of a (short-term) visiting professorship.
- I won three different teaching awards in the last four and a half years at HWU:
  - In 2015, I have been the winner of a special HWU prize, the Suave Award. I am the only one at the SML to have won two awards in at least two different categories and the only one to have won three awards in three different categories
  - In the academic year 2013/2014, I was awarded the prize for 'Best Lecturer at the Department of Business Management'
  - In May 2012, I was awarded the prize as 'Best Lecturer for Innovative and Fast Feedback'
- As student, I won the prize as the best LL.M. student at GCU (2007) and the best dissertation in the best year

	<p><b>External Examiner</b></p> <p>Since 2016, I have been external examiner at the University of East London in Banking Law, Corporate Social Responsibility, Corporate Governance and Globalisation, and Employment Law</p>
<b>HWU Service</b>	<ul style="list-style-type: none"> <li>• Director of the internationalisation of the Ph.D. programmes (since 2016) I promote at international level agreements between HWU (School of Social Sciences – Business Management Department) and international universities and/or Ph.D. Schools for a dual Ph.D. I am currently negotiating agreements with the University of Padua and the University of Naples.</li> <li>• Director of MA (Hons) International Business Management Degree Programme (since 2011). This programme requires that students spend at least one year of study with one of our international partner universities. As Director of IBM, I have promoted and secured no fewer than 5 partnerships in the last five years. Among these: 1. Ludwig Maximilliam University in Munich; 2. Carlos III in Madrid; 3. Ca' Foscari, University of Venice; 4. Kuhne Logistics University; 5. University of Brescia. Additionally, under my stewardship, other academic institutions have become partners: Linköping University; Oklahoma State University; Clarkson University</li> <li>• In December 2014 and January 2015, I successfully contributed, in cooperation with both my administrative colleagues and the academic colleagues in Malaysia, to the creation of the IBM programme in that campus</li> </ul>
	<p>I mentor approximately 20 students per year.</p> <p>In the last two years, I have been in charge of the mentoring of all the exchange students in IBM and IBM with Languages (40 students per year approximately)</p>
<b>Learning and Teaching</b>	<ul style="list-style-type: none"> <li>• Course Leader in International Banking and Financial Law (HWU) (HWU, both UG and PG)</li> <li>• Course leader in Company Law (HWU, both UG and PG)</li> <li>• Course Leader in Employment Law (aligned and taught at both the Dubai Campus and the Malaysia one) (HWU)</li> <li>• Course Leader in Legal Research Project 1 (HWU)</li> <li>• Course Leader in Legal Research Project 2 (HWU)</li> <li>• Course Leader in International Law of HR Management (until 2015) (HWU)</li> <li>• Course Leader (Joint) in International Trade Law in 2011/2012 and 2012/2013 (HWU) (PG)</li> <li>• Since 2014 – International Financial Law (University of Padova - Msc in International Commerce (16 hours, course leader and chair)</li> <li>• Since 2016 – Law of Mergers and Acquisitions (University of Venice, Ca' Foscari) (30 hours, course leader and chair)</li> </ul>

*Conferences, seminars and guest lectures (since 2008)*

- 24<sup>th</sup> March 2017 (University College of London – Law School): Shadow Banking (panellist)
- 16<sup>th</sup> December 2016 (University of Padua – Law School): The Deposit Guarantee Scheme (keynote speech)
- 15<sup>th</sup> December 2016 (University of Padua – Law School): Bank Recovery and Resolution Directive and the Single Resolution Mechanism (SRM) (keynote speech)
- 2<sup>nd</sup> December 2016 (University of Padua – Law School): The Sanctioning Power of the ECB and the National competent Authority (keynote speech)
- 1<sup>st</sup> December 2016 (University of Padua – Law School): the New Model of Supervision in Europe: the Single Supervisory Mechanism (keynote speech)
- 26<sup>th</sup> July 2016 (King's College, London – Law School): the concept of compound interest in between civil law and common law
- In between June and July (Uninettuno – Telematic University): for two weeks recording of two courses in International Banking and Financial Law, one in English and the other one in Italian. The English course was based on my Routledge book, European Banking and Financial Law. The course in English was co-delivered by Prof. Mads Andenas, Chair of Banking Law, University of Oslo, Faculty of Law
- 24<sup>th</sup> June 2016 (LUISS Guido Carli): the concept of compound interest in between civil law and common law
- 25<sup>th</sup> June 2016 (LUISS Guido Carli): structured finance transactions and shadow banking
- 21<sup>st</sup> March 2016 (Heriot Watt University, School of Management and Languages, workshop in Finance): (with Prof. Iain McNeill) Top Management, Standards of Conduct and Responsibilities in the Financial Sector
- 27<sup>th</sup> February 2016 (University Law Sapienza, Law School, Chair of Prof. Guido Alpa, European Private Law): differences between common law and civil law and the peculiarity of a mixed legal system: Scots law. A specific analysis of the concept of compound interest in common law and civil law
- 9<sup>th</sup> February 2016 (University Luigi Bocconi – Milan, Law School):

international seminar on unfair dismissal between Europe and Japan. Pierre's speech: 'Unfair dismissal in the UK'

- 8<sup>th</sup> February 2016 (University of Milan, Law School): international conference on unfair dismissal between Europe and Japan. Pierre's speech: 'Unfair dismissal in the UK'
  - 29<sup>th</sup> January 2016 (University of Glasgow, Law School): international workshop on 'Enforcement Strategies in Financial Law': Pierre's speech: 'The enforcement regime in the Single Supervisory Mechanism'
  - 29<sup>th</sup> October 2015 (University of Cagliari – Law School): research seminar on the passing of the risk in the theory of the contract: a comparative analysis between the UK and Italy
  - 5<sup>th</sup> November 2015 (University of Cagliari, Law School): research seminar on the agreement of forfeiture (*pactum commissorium*) in the new Residential property directive: a comparative analysis between the UK and Britain
  - 16, 17 and 18 April 2014 (Prof. Perulli's Chair - European Labour Law – University of Venice, Ca' Foscari): guest lectures on a) Collective Bargaining in between Italy and the UK; b) the Redundancy in Britain as a Possible Yardstick for Italy; c) Industrial Relations from two Different Points of Observation: Rome and London, *ie* the Italian and the British Approach)
  - 17<sup>th</sup> and 18<sup>th</sup> April 2014 (Prof. Brino's chair, International Labour Law): guest lecturer on: a) sources in EU labour law; b) sex discrimination)
  - 6 February 2014 (Master GESAM, Ca' Foscari, University of Venice): guest lecturer on (a) protected characteristics in the UK legislation; (b) the transfer of undertakings from an EU perspective
  - 6<sup>th</sup> September 2013 (Ph.D. School in Human Capital Formation and Employment Law - University of Bergamo): seminars on : a) contract of service and contract for services in Britain; b) duties entailed to the contract of employment under British common law; c) the disciplinary procedures in the Employment Rights Act 1996
  - 8<sup>th</sup> and 9<sup>th</sup> July 2013 (University of Brescia, Summer School): seminar on 'Business Organisation and law of the human resources management; the legal consequences of discrimination in the employee/employer relationship. The UK approach of the Equality Act 2010'; 'Entrepreneurship and law: hyper-legislation versus loose statute? Comparison between common law and civil law. Two case studies: the law of the contract; citizens' rights and legal protection'
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- 17<sup>th</sup> June 2013 (Milan, Conference organised by the "Associazione Organismi di Vigilanza" (Milan) 'Organismi di Vigilanza fra Investigazioni Interne e Indagini Processuali' (Supervisory Bodies: Internal Inquiries and Criminal Investigations). I was one of the 10 panelists and spoke on 'The UK and the anti-bribery legislation'
- 27<sup>th</sup> June 2013 (University of Cagliari, Law School): conference on 'The responsibilities and liabilities of both employment law and company law nature of both the directors and the employees of financial institutions. A comparison between the UK and Italy.' I was one of the three panellists and spoke on Contractual duties of the employees of financial institutions under the UK common law and the responsibilities of the directors in the light of the Companies Act 2006
- 18<sup>th</sup> April 2013 (University of Brescia, Faculty of Economy, Chair of Prof. C Alessi): lecture on the topic: 'Working Time Legislation and "Family Friendly" Statute in the light of the EU Principles'
- 17<sup>th</sup> April 2013 (Ca' Foscari, University of Venice, Business Management Department): seminar to the Ph.D. students on 'Comparative Labour Law, particularly the Transfer of Undertakings and the Protection of the Employees, through a Comparative Analysis Italy-Britain'
- 10,11,12 April 2013 (Chair of Adalberto Perulli, University of Venice, Ca' Foscari, European Employment Law): guest lectures on:
  - a) Collective Bargaining in between Italy and the UK;
  - b) the Redundancy in Britain as a Possible Yardstick for Italy;
  - c) Industrial Relations from two Different Points of Observation: Rome and London, *ie* the Italian and the British Approach)
- 11<sup>th</sup> and 12<sup>th</sup> April 2012 (Chair of Adalberto Perulli, Comparative Employment Law, University of Venice, Ca' Foscari): guest lectures on:
  - a. 'Collective bargaining in the UK: the legal status of a collective agreement: epistemology of a concept in evolution?'
  - b. 'The dismissal by way of redundancy in the UK: ontology of a legislative framework and teleology of prospective reforms. A comparison analysis between the redundancy payment and the Italian "ammortizzatori sociali'
- 2007/2008 (University of Edinburgh, Law School, Chair of Prof. McQueen): Tutor in Contract Law
- 2007/2008 (Glasgow Caledonian University, Law Department): Tutor in Business Law I
- 2007/2008 (Glasgow Caledonian University, Law Department): Tutor in Business Law II

	<ul style="list-style-type: none"> <li>• Since September 2016 external examiner at the University of East London (Law School: Banking Law and Employment Law)</li> <li>• Reviewer for the Maastricht Journal of European and Comparative Law</li> <li>• Reviewer of the Edinburgh Law Series (University of Edinburgh)</li> </ul>
	<p>As advocate and visiting professor in other international institutions, keynote presentations in international conferences. See my research gate (<a href="https://www.researchgate.net/profile/Pierre_De_Gioia-Carabellese/stats/profile_views">https://www.researchgate.net/profile/Pierre_De_Gioia-Carabellese/stats/profile_views</a>) as well as my HWU Pure (<a href="https://pureapps2.hw.ac.uk/portal/en/persons/pierre-de-gioiacarabellese(dd725edc-65af-423b-9eff-3c59a18089bc).html">https://pureapps2.hw.ac.uk/portal/en/persons/pierre-de-gioiacarabellese(dd725edc-65af-423b-9eff-3c59a18089bc).html</a>).</p>
	<p>Advisory given to banks and multinationals in capital markets transactions and financial contracts. In the last 10 years, this has allowed me to fund my research activity with no less than Euro 1,600,000.</p> <p>Among the beneficiaries, Deutsche Bank, Veneto Banca, Banca Popolare di Intra, Banca Apulia.</p>
<b>Grants</b>	<ul style="list-style-type: none"> <li>• Internal Research Grant – HWU – School of Management and Languages (£ 4,000, £ 2009)</li> <li>• Internal Research Grant – HWU – School of Management and Languages (£ 4,000)</li> <li>• University of Cagliari – Law School (Euro 17,000 circa, 2015)</li> <li>• Centro Studi Italia-Regno Unito (Euro 50,000, 2016)</li> <li>• University of Cagliari – Law School (Euro 5,000 circa, 2016)</li> <li>• University of Padua – Law School (Euro 3,200, 2016)</li> <li>• University of Venice, Ca’ Foscari (Euro 9,000, 2017)</li> </ul>
<b>Research</b>	<p>My areas of specialism are:  Banking and Financial Law  Securities Law  Company Law  Employment Law/Discrimination Law  Contract Law  Comparative Analysis in Law</p> <hr/> <p><u>Evidence of research impact:</u></p> <ol style="list-style-type: none"> <li>1. In 2016, thanks to an advisory to an international bank, I managed to change the policy on derivative contracts adopted by this credit institution with its professional counterparties. The ISDA contractual framework used by this bank is now aligned to the guidelines contained in my advice (2016, Turnover: Euro 20,000);</li> <li>2. In 2016, with the same international bank, my research on securities lending agreements has been conducive in the adoption of a new policy by that bank (2016, Turnover: Euro 20,000);</li> <li>3. With an international bank, in my research on credit support annexes has been conducive in the adoption of the ISDA Master</li> </ol>



	<p>Agreement, the international contractual framework existing for these transactions (2016, Turnover: Euro 10,000);</p> <p>4. With an Italian bank, the advisory given to the bank in international guarantees has been conducive in the implementation of a new policy in the contracts of the bank (2016, Turnover: Euro 10,000).</p> <p><u>Evidence of new trademarks</u></p> <p>1. Advisory given the Feral Horses, a start-up implementing in Britain market of artworks. The legal analysis involves tax, regulation, and provide law matters (2016, Turnover: Euro 15,000).</p> <p><u>Research Groups</u></p> <p>Member of the CFI (Centre of Finance and Investment) Research Group at HWU (SML);</p> <p>Member of the CROWW (Centre of Research of Work Well-being) at HWU.</p> <p>At International level, I am member of the Research Group 'Gruppo d. Lavoro' organised among the universities of Venice, Brescia and Verona in the area of employment law and industrial relations.</p> <p>Outside HWU, I am also: a. invited member of the Research Centre 'Hazelhof' at the University of Leiden; b. since 2014, member of the Scottish Graduate School of Arts and Humanities; c. Society of Legal Scholars; d) Law Institute of Scottish Universities.</p> <p><u>Ph.D. Supervision</u></p> <p>I am professor at the Ph.D. School in Human Capital Formation and Employment Law of the University of Bergamo. I have been acting in this capacity since 2012;</p> <p>I am also professor at the Ph.D. School in Management, Governance and Law (University Parthenope, Naples).</p> <p>In the last three years, I successfully supervised two students. They were both Ph.D. students at the University of Venice, Ca' Foscari, which is one of the HWU partners. In both cases I acted as co-supervisor. The two students (Antonella Floris and Alice Bussolaro) came to Edinburgh to complete their internationalisation programme, under my supervision, and completed their thesis in less than four years.</p> <p>Furthermore, the Ph.D. student Antonella Sangiorgio, whom I have been supervising with my colleague in Venice, Ca' Foscari, Prof. Gaetano Zilio Grandi, has just completed her Ph.D. on 7 July 2016.</p> <p>At HWU I have been supervising a student, Jenny Meinich, since September 2015. The thesis is an interdisciplinary analysis between HRM and employment law.</p> <p>Since September 2016, I have been supervising a second HWU Ph.D. student, Katriona Myhill. I am acting as first supervisor and the thesis relates to the category of the employee shareholder.</p>
<b>Research Outputs</b>	See attached list of publications and conferences
<b>Miscellaneous</b>	I hold dual nationality (British and Italian), I am bilingual (English and Italian), I am fluent in two languages (Spanish and French) and I studied Latin and Ancient Greek for 5 years at the Gymnasium.

## 2. List of Publications

<http://www.hw.ac.uk/schools/management-languages/staff-directory/pierre-de-gioia-carabellese.htm>

[https://www.researchgate.net/profile/Pierre\\_De\\_Gioia-Carabellese](https://www.researchgate.net/profile/Pierre_De_Gioia-Carabellese)

<https://twitter.com/pierredegioiaca>

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### REF 2021:

#### REFEREED ARTICLES

1. E Jones, H Li and P de Gioia Carabellese, ‘Are there obscured costs of ex-ante board connections and target board retention in UK Mergers & Acquisitions?’ (Junior Author, currently under review of the **Corporate Governance: a Review**)
2. P de Gioia Carabellese, ‘The Directive on the Credit Agreements for Consumers relating to Residential Immovable Property (Directive 2014/17): a Regulatory Explanation and a Private Law Analysis’ (forthcoming 2018) **European Business Law Review** (Sole Author) (accepted for publication)
3. P de Gioia Carabellese & C Chessa, ‘Missives and Deposit in Scottish Law: Diachronic and Comparative Reflections about the Concept of *Arrha*’ (forthcoming 2017) **European Business Law Review** (Senior Author) (Senior Author, accepted for publication, with amendments, by two anonymous reviewers)
4. P de Gioia Carabellese & K Myhill, ‘Age discrimination: A ‘too Young’ Protected Characteristic in Europe?’ (2016)2 **Interdisciplinary Perspectives on Equality and Diversity** (Senior Author)
5. P Sinclair (de Gioia Carabellese), ‘Compound Interest and its Validity (or Invalidity): the State-of-the-Art of British Common Law Discussed by Virtue of a Comparative Analysis’ (2016)5 **Law and Economics Yearly Review** 174-200 (Sole Author) (ISSN: 2050-9014)
6. P de Gioia Carabellese, ‘EU Principles of Workers’ Participation in the Management of Businesses and the Employee Shareholder in Britain: Rules, Interpretation and *Lacunae* of a New Subcategory of the Contract of Employment’ (2016)5 **E-Journal of International and Comparative Labour Studies** 1-27 (Sole Author) (ISSN: 2280-4056)
7. P de Gioia Carabellese & C Chessa, ‘La Direttiva 2014/17/UE in merito ai Contratti di Credito ai Consumatori relativi a Beni Immobili Residenziali: una Interpretazione del Quadro Normativo e una Analisi di Diritto Privato’ (The Directive on the Credit Agreements for Consumers relating to Residential

- Immovable Property (Directive 2014/17): a Regulatory Explanation and a Private Law Analysis') [2016] **Responsabilita' Civile e Previdenza** (3) (in Italian) (Joint Author)
8. P de Gioia Carabellese & C Chessa, 'The So-Called Pan-European Depositors' Protection Scheme: a Further Euro Own-goal? A critical analysis of Directive 2014/49' (2016)23 **Maastricht Journal of European and Comparative Law** 241-260 (ISSN: 1023-263X) (Senior Author)
  9. P de Gioia Carabellese & C Chessa, '*Il Cosiddetto Sistema Paneuropeo di Protezione dei Depositanti: un Ulteriore Euro Autogol? Un'Analisi Critica della Direttiva 2014/49*' (The So-Called Pan-European Depositors' Protection Scheme: a Further Euro own-goal? A critical analysis of Directive 2014/49) (2016)58 **Banca, Borsa e Titoli di Credito** (3) 332-352 (ISSN: 0390-9522) (in Italian) (Joint Author)
  10. P de Gioia Carabellese & A Sangiorgio, '*Il Lavoratore Subordinato con Azioni ma Liberamente Licenziabile: la Nuova Figura dell'Employee Shareholder in Gran Bretagna*' (The Employee with Shares, albeit Susceptible to Being Fired, in Great Britain) (2016)26 **Diritto delle Relazioni Industriali** 319-334 (ISSN: 1121-8762) (in Italian) (Senior Author)
  11. P de Gioia Carabellese, 'The Bank Insolvency Directive (Directive 2001/24/EC) halfway between Scylla (Politics) and Charybdis (Finance): a Comparative and Empirical Analysis' (2015)4 **Law and Economics Yearly Review** 178-195 (ISSN: 2050-9014) (Sole Author)
  12. P de Gioia Carabellese, 'The Employee Shareholder: the Unbearable Lightness of Being .... an Employee in Britain' (2015)22 **Maastricht Journal of European and Comparative Law** 81-95 (ISSN: 1023-263X) (Sole Author)
  13. P de Gioia Carabellese, 'Corporate governance of British Banks and Duties of Directors: Practical Implications of the Royal Bank of Scotland's Demise' (2014)2 **Law and Economics Yearly Review** 134-165 (ISSN: 2050-9014) (Sole Author)
  14. C Chessa & P de Gioia Carabellese, 'Il passaggio del rischio nella vendita della proprietà e la massima (oscura) res perit domino fra tradizione scozzese e tradizione continentale' ('The Passing of the Risk in the Sale of a Heritable Property and the (Obscure) Roman Maxim Res Perit Domino in between Scotland and the "Continental" Tradition') (2014)1 **Contratto e Impresa/Europa** 296-318 (ISSN: 11272872) (in Italian) (Joint Author)

## BOOKS

1. M Haentjens & P de Gioia Carabellese, **European Banking and Financial Law** (Routledge, London and New York 2015) 1-245 (ISBN 1138897965) (Joint Author)
2. M Haentjens & P de Gioia Carabellese, **European Banking and Financial Law** (2nd edn Routledge, London and New York 2018) (second revised edition accepted for publication after a review by three anonymous reviewers) (Joint Author)

3. M Haentjens & P de Gioia Carabellese, *European Banking and Financial Law Statute* (Routledge, London and New York 2017, in print) (accepted for publication after a review by three anonymous reviewers) (Joint Author)

#### CHAPTERS IN EDITED BOOKS

1. P de Gioia Carabellese, *Securitisations*, in IH Chiu & I MacNeil (eds), *Research Handbook on Shadow Banking: Legal and Regulatory Aspects. Edward Elgar's Series* (forthcoming 2017, invited author; chapter accepted with amendments after review by the two editors) (Sole Author)

#### CONFERENCE PAPERS PUBLISHED AS PROCEEDS

1. P de Gioia Carabellese, *The Unfair Dismissal in Britain*, in MT Carinci (ed), *The Unfair Dismissal in Europe and Japan* (conference papers) under publication (Conference University of Milan, 8<sup>th</sup> February 2016);
2. P de Gioia Carabellese, *Lo sciopero in un'analisi comparata fra la 'protected trade dispute' nel Regno unito e il diritto di sciopero riconosciuto nel Continente, in particolare in Italia* (The Strike in a Comparative Analysis between the 'Protected trade Dispute' in the United Kingdom and the Right to Strike in the Continent, particularly in Italy), in M Barbera and A Perulli (eds), *Consenso, dissenso e rappresentanza. Le nuove relazioni sindacali*. University of Venice Ca' Foscari, Dipartimento di Economia – Collana del Centro Studi Giuridici (CEDAM 2014) 205-214 (Venice Conference – 27<sup>th</sup> and 28<sup>th</sup> February 2013) (ISSN: 978-88-13-34087-2)

#### MAGAZINE AND UNREFEREED ARTICLES

1. 'Il Concetto Giuridico di Compound Interest (o Anatocismo) in una Analisi Comparata con il Common Law Britannico' (2016) **Rivista di Diritto Bancario** 1-19 (ISSN: 2279-9737) (in Italian) (Sole Author)
2. (with R Colhoun), 'Flexible Working Arrangements' **ADAPT\_bulletin**, 16 July 2014 <[http://adapt.it/englishbulletin/wp/wp-content/uploads/2014/07/carabellese\\_colhoun\\_flexworking.pdf](http://adapt.it/englishbulletin/wp/wp-content/uploads/2014/07/carabellese_colhoun_flexworking.pdf)>
3. (with R Colhoun), Employee Shareholder 'Status' in **ADAPT\_Bulletin**, 16 <July 2014 [http://adapt.it/englishbulletin/wp/wp-content/uploads/2014/07/carabellese\\_colhoun\\_empshareholder.pdf](http://adapt.it/englishbulletin/wp/wp-content/uploads/2014/07/carabellese_colhoun_empshareholder.pdf)>

#### BLOGS

1. P Sinclair de Gioia Carabellese, 'Will Germany flout Europe's bail-in rules if Deutsche Bank needs rescuing?' **The Conversation**, 25<sup>th</sup> October 2016 <<https://theconversation.com/will-germany-flout-europes-bail-in-rules-if-deutsche-bank-needs-rescuing-67593>>
2. P Sinclair de Gioia Carabellese, 'London banking will struggle to escape Brexit trap' 4<sup>th</sup> July 2016 **The Conversation**, <<https://theconversation.com/london-banking-will-struggle-to-escape-brexit-trap-61929>>

## REF 2014:

### REFEREED ARTICLES

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3. P de Gioia Carabellese & M Shuttleworth, 'Unfair Dismissal of Agency Workers or Unfair Legislation in the UK for Businesses using Agency Workers. A Law Discussion on the Agency Workers Regulations in the light of a Theoretical – or too Theoretical – Harmonisation and from a Tenuous Perspective of Comparative Analysis' (2013)24 European Business Law Review 635-659; (ISSN: 0959-6941) (Senior Author)
4. P de Gioia Carabellese & R Colhoun, 'Associative Discrimination in Britain and in the European Union: a Concept still too Elastic to be Legislated?' (2012)1 E-Journal of International and Comparative Labour Studies 247-261; (ISSN 2280-4056) (Senior Author)
5. P de Gioia Carabellese & G Zilio-Grandi, 'The Dismissal by way of Redundancy in the United Kingdom as a Possible Legal Concept to be transplanted in Italy? A Meditation on the "Odyssey of an Impossible Dismissal (the Economic Dismissal in Italy) and a Possible inspirational Muse (the British Legislation in the matter of the Redundancy)' (2013)24 European Business Law Review 849-871 (ISSN: 0959-6941) (Senior Author)
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7. P de Gioia Carabellese, 'Le Insolvenze Civili e Commerciali nel Regno Unito, in un'Analisi Comparata con la Legislazione Italiana' (The Civil and Commercial Insolvencies in the UK, within the context of a Comparative Analysis with the Italian Legislation) (2011)86 Diritto Fallimentare 529-614; (ISSN: 0391-5239 (in Italian))
8. P de Gioia Carabellese & IA Savini, 'La "231" nel Regno Unito: Riflessioni Comparatistiche in merito al c.d. Omicidio Societario (*Corporate Manslaughter*) e al *Corporate Manslaughter and Corporate Homicide* 2007' [2011] La Responsabilità Amministrativa delle Società e degli Enti (Rivista 231) 111-134; (ISSN 2239-2416) (in Italian) (Senior Author)

9. P de Gioia Carabellese, 'Non-executive Directors and Auditors in the context of the UK Corporate Governance; two (or too many?) "Characters" still in the Search of an Author?' (2011)22 *European Business Law Review* 759-789; ISSN: (0959-6941) (Sole Author)
10. P de Gioia Carabellese, 'The Concepts of the Scottish (and Italian) Unilateral Promise and the English Unilateral Contracts. (Comparative Law Reflections on "Call Options" and "Put Options" in the light of the Jurisdictions of England, Scotland and Italy)' (2011)22 *European Business Law Review* 381-398; (ISSN: 0959-6941) (Sole Author)
11. P de Gioia Carabellese, "'Derivatives" in the light of the Recent Financial Crises (Lehman Brothers) and through Glimpses of Comparative Analysis' (2010)3 *Rivista Trimestrale di Diritto dell'Economia* 234-257; (ISSN: 2036-4873) (Sole Author)
12. P de Gioia Carabellese, 'Gli "agency workers" nel Regno Unito fra common law e disciplina comunitaria' ('Agency Workers in the UK between Common Law and the Latest EU piece of Legislation') [2010] *Il Lavoro nella Giurisprudenza Wolters Kluwer* 143-158; (02460000 - ISBN: 88-13-02460-0) (in Italian) (Sole Author)
13. P de Gioia Carabellese, '*Corporate governance*, amministratori *non-executive e auditors* sull'asse Roma-Londra ('Corporate Governance, Non-executive Directors and Auditors in between Rome and London') [2009] *Le Società Wolters Kluwer* 1556-1574; (02020000 - ISBN: 88-13-02020-0) (Sole Author)
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15. P de Gioia Carabellese, 'Clamoroso al Cibali: l'opa obbligatoria esiste!' ('Unbelievable but True: the Mandatory Take-over does exist!') [2008] *Le Società Wolters Kluwer* 1005-1026; (02020000 - ISBN: 88-13-02020-0) (in Italian) (Sole Author)

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- on the Consumer Code*) (ESI, Napoli 2009); (ISBN 9788849518542) (in Italian) (Joint Author)
3. P de Gioia Carabellese, *Defensive Measures in the Take-overs* in M Sandulli and V Santoro (editors), *Collana Le nuove leggi del diritto dell'Economia (Edited Book The New Legislation in law and Economics)* in G Fauceglia (ed), *Commentary to the new Italian Take-over legislation* (Commentario alla direttiva OPA) (Giappichelli Editore, Turin 2010) 49-100; (ISBN 978-88-348-0073-7) (in Italian)

## WORKING PAPERS

1. P de Gioia Carabellese, G Zilio-Grandi & R Colhoun), ‘The Concept of “Protected Trade Dispute” in the UK Legislation: a (still and never-ending) Fashionable Notion to be exported to the Continent, despite "Metrobus" and "British Airway"' (Working Paper - Adapt – Research Centre “Marco Biagi” Modena) (August – 2013)
2. P de Gioia Carabellese, ‘Analisi del quadro normativo del licenziamento economico (*economic dismissal*) nel Regno Unito; un possibile modello di riferimento per l’Italia’ (*A legal analysis of the economic dismissal (redundancy) in the UK: a possible yardstick for the Italian legislation*) in *Working Paper no 124* (15th March 2012 Adapt – Centre for Research “Marco Biagi” Modena) 1-12; (in Italian)